

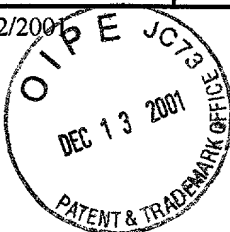


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/950,060	09/12/2001	Katsuya Shimomura	2001_1279A

000513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021



CONFIRMATION NO. 1528

## FORMALITIES LETTER



\*OC00000006918865\*

Date Mailed: 10/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

12/17/2001 BABRAHAI 00000001 17700000

01 FC:139  
02 FC:105  
03 FC:103

12/17/01  
12/17/01  
12/17/01

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

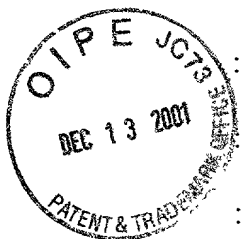
In re application of

Katsuya SHIMOMURA et al.

Serial No. 09/950,060

Filed September 12, 2001

LOUDSPEAKER



Confirmation No. 1528

Attn: BOX MISSING PARTS

Docket No. 2001\_1279A

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

In response to the Notice to File Missing Parts of Nonprovisional Application dated October 16, 2001, submitted herewith are the required Declaration and Power of Attorney and the English translation with Verification of Translation.

Also enclosed are the PTO surcharge of \$260.00, required by 37 CFR 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

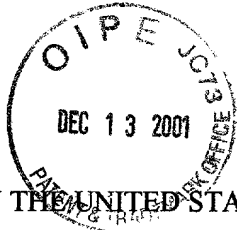
Respectfully submitted,

Katsuya SHIMOMURA et al.

By: David M. Ovedovitz  
David M. Ovedovitz  
Registration No. 45,336  
Attorney for Applicants

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Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
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December 13, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Katsuya SHIMOMURA et al. : Atty Docket 2001\_1279A  
Serial No. 09/950,060 : Confirmation No. 1528  
Filed September 12, 2001 :  
LOUDSPEAKER \_\_\_\_\_

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Attached hereto is a check in the amount of \$548.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00  
Additional Claims Fee Transmittal Letter  
Excess of Twenty ..... \$288.00  
English Translation ..... \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Katsuya SHIMOMURA et al.

By David M. Ovedovitz  
David M. Ovedovitz  
Registration No. 45,336  
Attorney for Applicants

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December 13, 2001

[Check No. 47940]

2001\_1279A

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Katsuya SHIMOMURA et al. : Docket No. 2001\_1279A  
Serial No. 09/950,060 : Confirmation No. 1528  
Filed September 12, 2001 :  
LOUDSPEAKER

**ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0976

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY		LARGE ENTITY
Total Claims exceeding 20 (not already paid for): 16 x	(\$ 9 = \$)	or	(\$18 = \$288.00)
Indep. Claims exceeding 3 (not already paid for): x	(\$42 = \$)	or	(\$84 = \$)
<input type="checkbox"/> Multiple Dep. Claim(s) (if there previously were none): +	(\$140 = \$)	or	(\$280 = \$)
Total Additional Fee =	<u>\$288.00</u>	or	<u>\$288.00</u>

☐ Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which

☐ is enclosed or

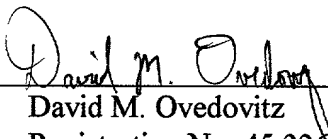
☐ has been previously submitted.

☒ A check in the amount of \$288.00 is enclosed.

[ ] Please charge Deposit Account No. 23-0975 the amount of \$ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Katsuya SHIMOMURA et al.

By   
David M. Ovedovitz  
Registration No. 45,336  
Attorney for Applicants

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